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Docket No.: E3331.0525  
(PATENT)

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Dated: January 12, 2007

Signature: *Joseph W. Ragusa*  
(Joseph W. Ragusa)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Peter R. Horsfall

Application No.: 09/942,426

Confirmation No.: 7416

Filed: August 29, 2001

Art Unit: 3624

For: ELECTRONIC TRADING SYSTEM

Examiner: Olabode Akintola

AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

## INTRODUCTORY COMMENTS

In response to the Office Action dated September 12, 2006, please amend the above-identified U.S. patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 11 of this paper.

01/16/2007 TLD111 00000004 09942426

02 FC:1201

400.00 OP

03 FC:1202

150.00 OP

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